

# Joel, lecture 1

0) Outline: motivation

$$\text{D}^b \text{Coh}(T^*G(k, n)) \xrightarrow{\sim} \text{D} \text{Coh}(T^*G(n-k, n))$$

1) Categorical  $\mathcal{B}_2^k$ -actions

2) Application to 0)

3) D-modules on Grassmannians & rel-n to Coh

4) Appl-n to categorification of knot invariants

-joint w. Curtis, Licata, Dodd

$$\begin{aligned} 0) \quad T^*\mathbb{P}^1 &= \{(x, v) : 0 \subset v \subset \mathbb{C}^2, X \in \text{End}(\mathbb{C}^2) \mid X\mathbb{C}^2 \subset v, Xv \subset 0\} \\ &= \left\{ \begin{array}{c} 0 \xrightarrow{X} v \xrightarrow{X} \mathbb{C}^2 \\ \xleftarrow{X} \quad \quad \quad \xleftarrow{X} \end{array} \right\} \end{aligned}$$

$\mathcal{O}_{\mathbb{P}^1}$  is spherical object in  $\text{D}(\text{Coh } T^*\mathbb{P}^1)$ , i.e.

$$1) \text{Ext}^0(\mathcal{O}_{\mathbb{P}^1}, \mathcal{O}_{\mathbb{P}^1}) \simeq \mathcal{U}^0(S^2) = \mathbb{C}[x, y]$$

$$2) \mathcal{O}_{\mathbb{P}^1} \otimes \omega_{T^*\mathbb{P}^1} \simeq \mathcal{O}_{\mathbb{P}^1}$$

$\leadsto$  Seidel-Thomas twist  $T: \text{D} \text{Coh } T^*\mathbb{P}^1 \rightarrow \text{D}(\text{Coh}(T^*\mathbb{P}^1))$

$$T(A) = \text{Cone}(\text{Ext}^0(\mathcal{O}_{\mathbb{P}^1}, A) \otimes_{\mathbb{P}^1} \mathcal{O}_{\mathbb{P}^1} \rightarrow A)$$

-categorification  $a \mapsto a - \langle v, a \rangle v$

Thm (Seidel-Thomas)  $T$  is a category equivalence.

$$T_{\mathcal{O}_{\mathbb{P}^1}}(A) = \begin{cases} A, & \text{Ext}^0(\mathcal{O}_{\mathbb{P}^1}, A) = 0 \\ A[1], & A = \mathcal{O}_{\mathbb{P}^1} \otimes V \end{cases}$$

FM kernel:  $X, Y$ -smooth varieties  $K \in \text{D}(\text{Coh}(X \times Y))$

$$\leadsto \mathcal{P}_K: \text{D}(\text{Coh}(X)) \rightarrow \text{D}(\text{Coh}(Y))$$

$$A \mapsto \pi_{Y*}(\pi_X^* A \otimes K) \quad \text{need } \pi_{X*}|_{\text{supp}(K)} \text{ proper}$$

The kernel for  $T_{\mathcal{O}_{\mathbb{P}^1}}$

$$A^v := \text{RHom}(A, \mathcal{O}_X)$$

$$\text{Kernel} = \text{Cone}(\mathcal{O}_{\mathbb{P}^1}^v \otimes_{\mathbb{P}^1} \mathcal{O}_{\mathbb{P}^1} \rightarrow \mathcal{O}_{\Delta})$$

$$\mathcal{O}_{\mathbb{P}^1}^\vee = \mathcal{O}_{\mathbb{P}^1} \otimes \mathcal{L}[-1], \quad \mathcal{L}_{(v,x)} = \text{Hom}(\mathbb{C}^2/V, V) \text{ - line bundle}$$

$$\mathbb{Z} = \mathbb{P}^1 \times \mathbb{P}^1 \cup \Delta \subset T^*\mathbb{P}^1 \times T^*\mathbb{P}^1 \quad [T^*\mathbb{P}^1 \rightarrow \mathcal{N} \subset \mathcal{O}_{\mathbb{P}^1}^\vee]$$

$$= \{(XV, XW)\} = T^*\mathbb{P}^1 \times_{\mathcal{N}} T^*\mathbb{P}^1$$

Get exact sequence  $0 \rightarrow \mathcal{O}_\Delta(-\mathbb{P}^1 \times \mathbb{P}^1) \rightarrow \mathcal{O}_\mathbb{Z} \rightarrow \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1} \rightarrow 0$   
 $\quad \quad \quad \parallel$   
 $\quad \quad \quad \mathcal{O}_\Delta \otimes \mathcal{L}^*$

$$\rightsquigarrow \underbrace{\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1} \otimes \mathcal{L}[1]}_{\text{our previous cone}} \rightarrow \mathcal{O}_\Delta \rightarrow \mathcal{O}_\mathbb{Z} \otimes \mathcal{L} \rightarrow \boxed{\mathbb{P}^1 \times \mathbb{P}^1}$$

our previous cone

$$\text{So } T_{\mathcal{O}_{\mathbb{P}^1}} = \mathcal{P}_{\mathcal{O}_\mathbb{Z} \otimes \mathcal{L}} \quad (\text{here } \mathcal{L} = \text{Hom}(\mathbb{C}^2/V, W))$$

Con:  $\mathcal{P}_{\mathcal{O}_\mathbb{Z}}$  is an equivalence

$$\begin{array}{ccc} & \mathbb{Z} & \\ p_1 \swarrow & & \searrow p_2 \\ T^*\mathbb{P}^1 & & T^*\mathbb{P}^1 \end{array} \quad \text{and } \mathcal{P}_{\mathcal{O}_\mathbb{Z}} = p_{2*} p_1^*$$

Generalization:  $T^*\mathbb{P}^1 \rightsquigarrow T^*\mathbb{P}^{n-1} = T^*G(2, n)$

$$0 \rightleftarrows V \rightleftarrows \mathbb{C}^n$$

$\underbrace{\quad \quad \quad}_X \quad \underbrace{\quad \quad \quad}_X$

resolution  $\{X \in \text{End}(\mathbb{C}^n) \mid X^2 = 0, \text{rk } X \leq 1\}$

another resolu'n  $T^*G(n-1, n)$

$$\begin{array}{ccc} & \mathbb{Z} & \\ p_1 \swarrow & & \searrow p_2 \\ T^*G(2, n) & \square & T^*G(n-1, n) \\ & \searrow & \swarrow \\ & \{X \in \text{End}(\mathbb{C}^n) \mid X^2 = 0, \text{rk } X \leq 1\} & \end{array}$$

Thm (Kawamata, Namikawa)

$$p_{2*} p_1^* : \mathcal{D}(\text{oh}(T^*G(2, n))) \xrightarrow{\sim} \mathcal{D}(\text{oh}(T^*G(n-1, n)))$$

More general:  $T^*G(k, n) \quad \square \quad T^*G(n-k, n) \quad k \leq n-k$

$$\begin{array}{ccc} & \mathbb{Z} & \\ & \searrow & \swarrow \\ & \square & \\ & \{X \mid X^2 = 0, \text{rk } X \leq k\} = \mathcal{B}_k & \end{array}$$

$$\mathbb{Z} = \left\{ \begin{array}{ccc} & X & \\ & \swarrow & \searrow \\ 0 & V & \mathbb{C}^n \\ & \nwarrow & \nearrow \\ & X & \\ & W & \\ & \nwarrow & \nearrow \\ & X & \end{array} \right\}$$

Irreducible components:



$$\mathbb{Z}_s = \{(X, V, W) \mid \dim V \wedge W \geq \frac{s}{2}, \forall X \subseteq S\}, s=0, \dots, k.$$

~~Reason:  $n = \dim X + \dim \ker X = \dim \ker X$~~

e.g.  $\mathbb{Z}_0 = \{(0, V, W)\} = \text{Gr}(k, n) \times \text{Gr}(n-k, n)$

$\mathbb{Z}_k = \{(X, V, W) \mid V \subseteq W\}$  (for  $n=2k$ , get diagonal)

$B_s^0 = \{X \mid X^2=0, \forall X \subseteq S\}$ , then  $\mathbb{Z}_s = q^{-1}(B_s^0)$ ,  $q: \mathbb{Z} \rightarrow B_k$   
 $\subset B_k$

Thm (Namikawa)  $n=4, k=2$   $p_{\mathbb{Z}} \circ f_{\mathbb{Z}}^*$  is not equivalence  $D(\text{oh}(T^*G(2,4)))$

Kawamata constructed sheaf supported on  $\mathbb{Z}$  which gives equivalence

Problem: produce equivalence  $(x) D(\text{oh}(T^*G(k,n))) \rightarrow D(\text{oh}(T^*G(n-k,n)))$

to be solved using categorical  $\mathbb{S}_2^k$ -actions

(v) commuting w.  $GL_n(\mathbb{C})$ -action

### 1) Categorical $\mathbb{S}_2^k$ -action

$\mathbb{S}_2^k(\mathbb{C})$  has basis  $e, f, h$ .  $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ ,  $h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$V$ -fin. dim. rep. of  $\mathbb{S}_2^k$   $h$  acts on  $V$  diagonally, w. integral  $e$ -values

$\leadsto V = \bigoplus_r V_r$  ( $e$ -decomp for  $h$ )

$e: V_r \rightarrow V_{r+2}$ ,  $f: V_r \rightarrow V_{r-2}$  so that  $e f \cdot \text{id}_{V_r} = r \cdot \text{id}_{V_r}$

Eg.  $P_r := \{\text{subsets of } \{1, \dots, n\} \text{ of size } k, r = n - 2k\}$

$V_r = \mathbb{C}P_r$

$e: [S] \mapsto \sum_{\substack{T \subseteq S \\ |T|=|S|-1}} [T]$ ,  $f: [S] \mapsto \sum_{\substack{T \supseteq S \\ |T|=|S|+1}} [T]$

Preliminary def-n:

A categorical  $\mathbb{S}_2^k$ -action is a sequence of additive categories  $(\mathcal{D}_r)_{r=-n}^n$   
 functors  $E: \mathcal{D}_r \rightarrow \mathcal{D}_{r+2}$ ,  $F: \mathcal{D}_r \rightarrow \mathcal{D}_{r-2}$  s.t.  $\begin{cases} EF \cong FE \oplus I_{\mathcal{D}_r}^{\oplus r}, & r \geq 0 \\ FE \cong EF \oplus I_{\mathcal{D}_r}^{\oplus -r}, & r \leq 0 \end{cases}$

$\leadsto \mathbb{S}_2^k \curvearrowright \bigoplus_r K(\mathcal{D}_r) \leftarrow$  split Grothendieck group.

Preliminary: because we need to put some data producing isomorphisms

~~that~~ Data:  $E, F$ -bimod- $t$

Actually,  $D_r$  will have a shift  $[1]$ . And we want

$$D_{r-1} \begin{array}{c} \xrightarrow{E} \\ \xleftarrow{F} \end{array} D_{r+1} : E_r \cong F[r], E_{-r} \cong F[-r]$$

↑ adj-s

Now  $EF \rightarrow I \rightleftarrows E \rightarrow E$  (ignoring shifts)

To give  $EF \rightarrow FE \rightleftarrows E^2 \rightarrow E^2$

So to give  $EF \rightarrow FE \oplus I[r-1] \oplus I[r-3] \oplus \dots \oplus I[1-r]$

is the same thing as to give  $E^2 \rightarrow E^2$  &  $r$  homomorphisms  $E \rightarrow E$  [shift].

$$t: E^2 \rightarrow E^2[-2], \text{id}: E \rightarrow E, x: E \rightarrow E[2] \text{ & } x^k: E \rightarrow E[2k]$$

So our additional data are:

$$t: E^2 \rightarrow E^2[-2] \text{ & } x: E \rightarrow E[2]$$

s.t. 1)  $t, x$  produce ~~an~~ isomorphisms of functors

2) In  $\text{Hom}(E^n, E^n)$  have endomorphisms  $t_1, \dots, t_{n-1}, x_1, \dots, x_n$

that define an action of nil-affine Hecke algebra (defined later)

- complete def-n:

A cat- $\mathcal{C}$   $\mathcal{H}_2$ -action: 1) Categories  $\mathcal{D}_i$  (w. shift functors)

2) Functors  $E, F$

3) transformations  $x, t$  (+ units & counits of adjunction)

$\exists$  2-cat  $\mathcal{U}(\mathcal{H}_2)$ , and cat- $\mathcal{C}$   $\mathcal{H}_2$ -action is a 2-functor

$$\mathcal{U}(\mathcal{H}_2) \rightarrow \text{Cat.}$$

Objects of  $\mathcal{U}(\mathcal{H}_2)$  = integers

1-morphisms: monomials of  $E$ 's &  $F$ 's

2-morphisms: gen-d by  $x, t$  subject to Hecke relns + adjunctions

E.g.

$$D_{-2} \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{E} \end{array} D_2 \text{ st } EF|_{D_2} = I[1] \oplus I[-1], E = F_R[-1]$$

So  $F$  is a spherical functor in the following sense



$F: \mathcal{C} \rightarrow \mathcal{D}$  is spherical if  $\exists \mathcal{P}: \mathcal{C} \xrightarrow{\sim} \mathcal{C}$  (often  $\mathcal{P} = [-2]$ ) s.t.  
 $F_{\mathcal{P}} = \mathcal{P} \circ F$  & disting triangle  $I \rightarrow F_{\mathcal{P}} F = \mathcal{P} F F \rightarrow \mathcal{P}$   
 often triangle splits &  $F_{\mathcal{P}} F = I \oplus I[-2] = H^0(S^2) \oplus I$

If  $(\mathcal{D}_v)$  is a cat- $\mathcal{C}$   $\mathbb{S}_2^1$ -action,  $\mathcal{D}_v$  are triang-d, then we'll define  
 equivalence  $T: \mathcal{D}_v \rightarrow \mathcal{D}_{-v}$  (generalizing spherical twist)  
 De-categor level:

$$SL_2 \curvearrowright V, \hat{t} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

Lemma (Lusztig)  $t|_V = f^{(n)} - f^{(n+1)}e + f^{(n+2)}e^2 - \dots$ , where  $f^{(n)} = \frac{f^n}{n!}$

If we have a cat- $\mathcal{C}$   $\mathbb{S}_2^1$ -action, then  $F^n$  is acted on by nil-affine HA  $NH_n$

$NH_n = \langle t_i, t_{i+1}, x_i, x_{i+1} \rangle$  braid rel-ns on  $t_i$ 's

$$t_i^2 = 0, x_i x_j = x_j x_i$$

$t_i x_i = x_{i+1} t_{i+1} + 1$ , far away  $x$ 's &  $t$ 's commute

$NH_n$  acts on  $\mathbb{C}[x_i, x_{i+1}] / (\mathbb{C}[x_i, x_{i+1}]_{\neq}^{S_n}) = H^0(FL_n) : t_i(p) = \frac{p - S_i(p)}{x_i - x_{i+1}}$

Result (Rouquier)  $F^n = F^{(n)} \otimes H^0(FL_n)$  - w. symmetrized grading

let  $\Theta_s: F^{(r+s)} E^{(s)}: \mathcal{D}_v \rightarrow \mathcal{D}_{-v}$

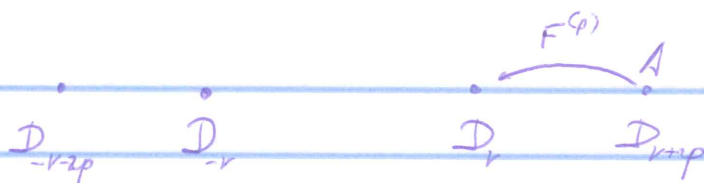
$$\begin{array}{ccccccc} \Theta_3 & \rightarrow & \Theta_2 & \rightarrow & \Theta_1 & \rightarrow & \Theta_0 & \text{- Rickard complex} \\ F^{(r+2)} E^{(2)} & & F^{(r+1)} E & & F^{(r)} & & F^{(r)} \\ \downarrow & \nearrow & & \searrow & \nearrow & \leftarrow & \text{adj-n} \\ F^{(r+1)} & & F E E & & F^{(r)} & & F E \end{array}$$

We'll get complex of FM kernels  $\leadsto$  get a functor defined  
 by the complex of kernels

Thm (Chuang-Rouquier, Gantmacher-Karimov-Licata, Rouquier)

$(\Theta_s)$  has unique convolution (iterated cone), say  $T$  &

$T: \mathcal{D}_v \rightarrow \mathcal{D}_{-v}$  is an equivalence



$A$  is called highest wt object if  $E(A) = 0$

Property:  $A \in \mathcal{D}_{\text{reg}}$  is highest wt  $\Rightarrow T(F^{(p)}(A)) = F^{(r+p)}(A)[p(r+p+1)]$

Note:  $F^{(p)}A$ 's form a spanning class

Get a required generalisation

2)  $\mathcal{D}_r = \mathcal{D}(\text{Coh}(T^*G(k,n)))$ ,  $r = n - k$

$$G(k,n) \times G(k+p,n) \supset I^p(k,n) \\ \{ (V,W) \mid V \subset W \}$$

$$C^p(k,n) := T^*_{I^p(k,n)}(G(k,n) \times G(k+p,n)) = \{ (X, V, W) \mid V \subset W \}$$

$$\text{Define } F^{(p)}: \mathcal{D}(\text{Coh}(T^*G(k,n))) \rightarrow \mathcal{D}(\text{Coh}(T^*G(k+p,n))) \\ \text{"} \quad \quad \quad \text{"} \\ \mathcal{D}_r \quad \quad \quad \mathcal{D}_{r+p}$$

$$G \text{ using } \mathcal{O}_{C^p(k,n)} \otimes \det(W/V)^{n-k}$$

$$E^{(p)} \text{ using } \mathcal{O}_{C^p(k,n)} \otimes \det(C^*/W)^{-p} \det(V)^p$$

as kernels

Then (Cautis-Kannitz-Licata)

These functors give cat- $\mathcal{L}$   $\mathcal{B}_\mathbb{Z}$ -actions (w. suitable  $t, x$ )

$$\text{Consider } \widetilde{T^*(C(k,n))} = \{ (X, V, \alpha), XV \subset V \mid X|_{C^0/V} = a \cdot \text{id}, X|_V = -a \cdot \text{id} \}$$

$$F \in \mathcal{D}(\text{Coh}(T^*G(k,n) \times T^*G(k+1,n)))$$

$$(3.6) \rightsquigarrow \widetilde{T^*(C(k,n))} \times \widetilde{T^*(G(k+1,n))}$$

$\exists$  morphism  $F \rightarrow F[z]$  (depending 3.6) given an obstruction to extending  $F$

$\rightsquigarrow x: F \rightarrow F[z]$  which does the job

Can get  $t$  in a similar way

This gives categorical  $\mathcal{B}_k$ -action  $\leadsto$  equiv.  $T: \text{DMod}(T^*G(k,n)) \rightarrow \text{DMod}(T^*G(n-k,n))$

$$\theta_s = F^{(r,s)} E^{(s)}[-s], \quad s=0, \dots, k$$

$$Z = Z_0 \cup Z_1 \cup \dots \cup Z_k$$

$\uparrow$  Steinberg

$$\mathcal{H}_s \text{ w. } \varphi_{\mathcal{H}_s} = \theta_s$$

lem:  $\mathcal{H}_s = \mathcal{O}_{Z_s} \otimes \det(C^*/W)^s \det V^s[-s]$   
 $\uparrow$   
 normalization

easy compn using composition of kernels

$\Rightarrow T$  is a Cohen-Macaulay sheaf (i.e.  $T^*$  is shifted sheaf)

Recall that for  $T^*\mathbb{P}^{n-1}$  get  $\mathcal{H} = \mathcal{O}_Z$

let  $Z^\circ$  - open dense subset

$$\{(V,W,X) : \dim \ker X + \dim V \cap W \leq n-1\}$$

i.e.  $\text{im } X \subset V \cap W$  is codim 1 subspace

Fact (easy)  $Z_s \cap Z_{s'}$  is a divisor  $\Leftrightarrow |s-s'|=1$

$Z^\circ \cap Z_s \cap Z_{s'}$  is empty unless  $|s-s'|=1$  (or  $s=s'$ )

Thm  $\exists$  line bundle  $L$  on  $Z^\circ$  s.t.  $T = j_* L$ ,  $j: Z^\circ \rightarrow Z$

Contis.

Cor:  $T^{-1}(A) = L' \otimes T(A \otimes L')$  for some line bundles.

$\Rightarrow$  existence of affine braid group action

Cor: for  $T^*G(2,4)$  CKL equivalence is same as Kawamata's