

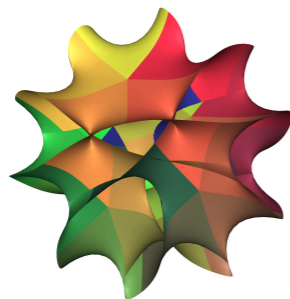
THE TADPOLE PROBLEM

2x [200X.XXXX] with I. Bena, J. Blåbäck, M. Graña
[1809.06861], [1910.08094], [1912.09948] with I. Bena, A. Buchel, E. Dudas, M. Graña

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Online String Pheno 2020

cosmology

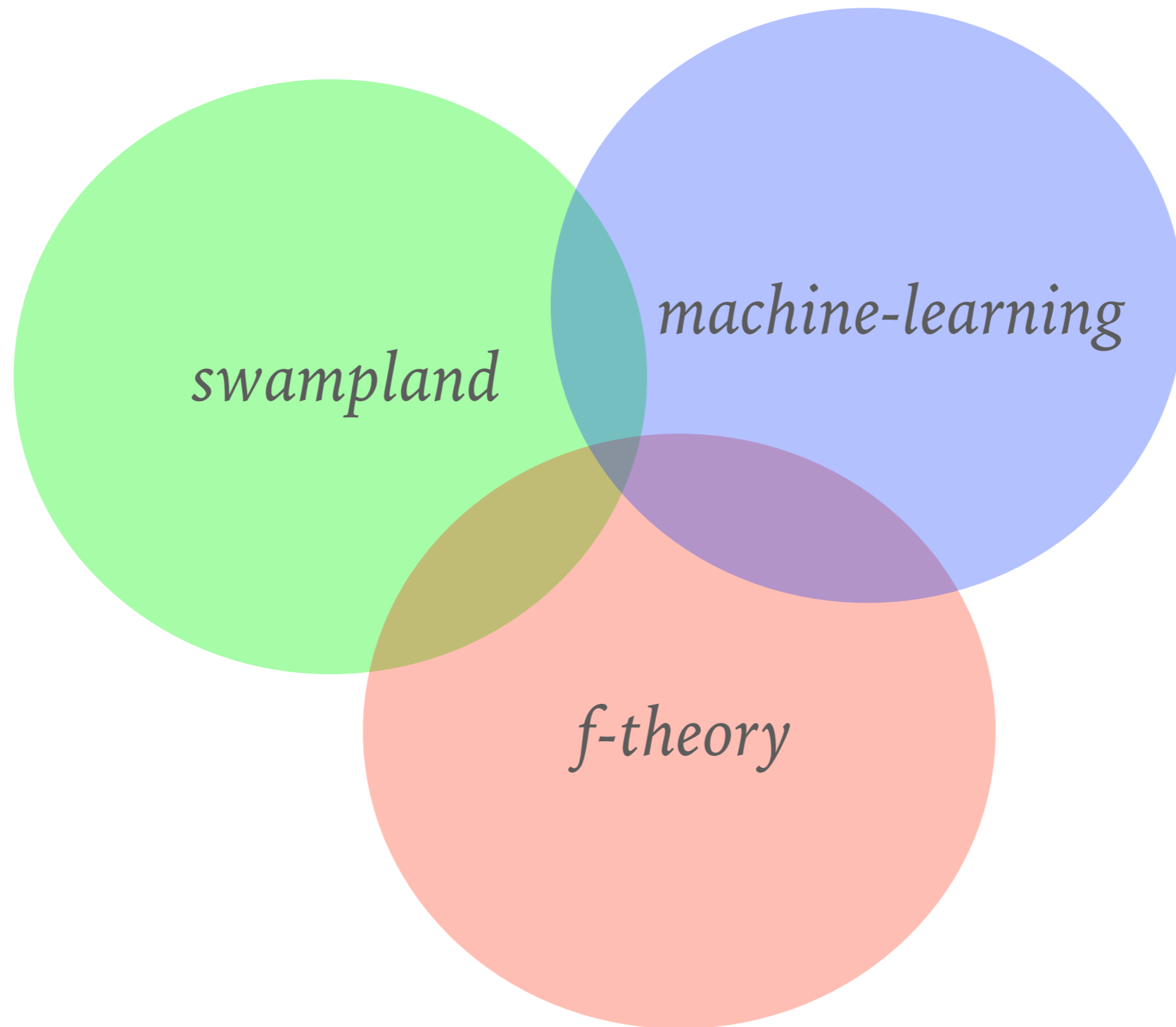
machine-learning

swampland

f-theory

(slack discussion channels)

The Tadpole Problem



MOTIVATION

- Flux compactifications:
Huge landscape of vacua: $10^{\text{(big number)}}$
[Ashok, Douglas '03], [Denef, Douglas '04], [Taylor, Wang '15]
- CYs with large Hodge numbers: many choices for fluxes
- Constraints on fluxes:
 - Integer quantization
 - Tadpole cancelation
- Goal/difficulty: consistent vacuum + stabilize all moduli within these constraints! *(see also A. Cole's, J. Moritz' and many others' talks)*
- Here: Issues at large numbers of moduli?

TADPOLE CANCELATION

► In IIB:

$$\int F_3 \wedge H_3 + Q_3^{loc} = 0$$

► D3-charge of localized sources:

$$Q_3^{loc} = N_{D_3} - N_{O3} - \frac{1}{24}(\chi(D7) + 4\chi(O7)) - \frac{1}{2} \int_S (\text{tr}(F \wedge F) - \text{tr} F \wedge \text{tr} F)$$

► F-theory:

$$\frac{1}{2} \int G_4 \wedge G_4 = \frac{\chi(CY_4)}{24}$$

$\chi(CY_4)$: Euler number of CY 4-fold
(encodes IIB D7-charges)

THE TADPOLE CONJECTURE

[Bena, Blåbäck, Graña, SL, to appear]

- Euler number in terms of Hodge numbers:

$$\chi(CY_4) = 6(8 + h^{1,1} + h^{3,1} - h^{2,1})$$

↖ #moduli to be stabilized by G_4

- Scaling with $h^{3,1}$:

$$\frac{\chi(CY_4)}{24} \propto \frac{1}{4} h^{3,1} \quad (\text{here } h^{1,1} \text{ small})$$

Tadpole conjecture: $\frac{1}{2} \int G_4 \wedge G_4 \Big|_{\text{all mod. stab.}} \gtrsim \alpha h^{3,1}$
(large $h^{3,1}$)

→ no large $h^{3,1}$ vacua if $\alpha > \frac{1}{4}$

Strong version: $\alpha = \frac{1}{4}$?

PLAN FOR THE REST OF THE TALK

1. Application / Implications

de Sitter vacua from $\overline{D3}$ -branes
in the Klebanov-Strassler throat (KKLT)

2. Examples

a) D7-branes in IIB

[Collinucci, Denef, Esole '08]

b) M-theory on $K3 \times K3$

(using an evolutionary algorithm)

APPLICATION:

UPLIFTING RUNAWAYS

FLUXES & COMPLEX STRUCTURE OF DEFORMED CONIFOLD

- KKLT: $\overline{D3}$ -branes in warped deformed conifold (Klebanov-Strassler)

deformed conifold:

$$\sum_{i=1}^4 z_i = S$$

warp factor:

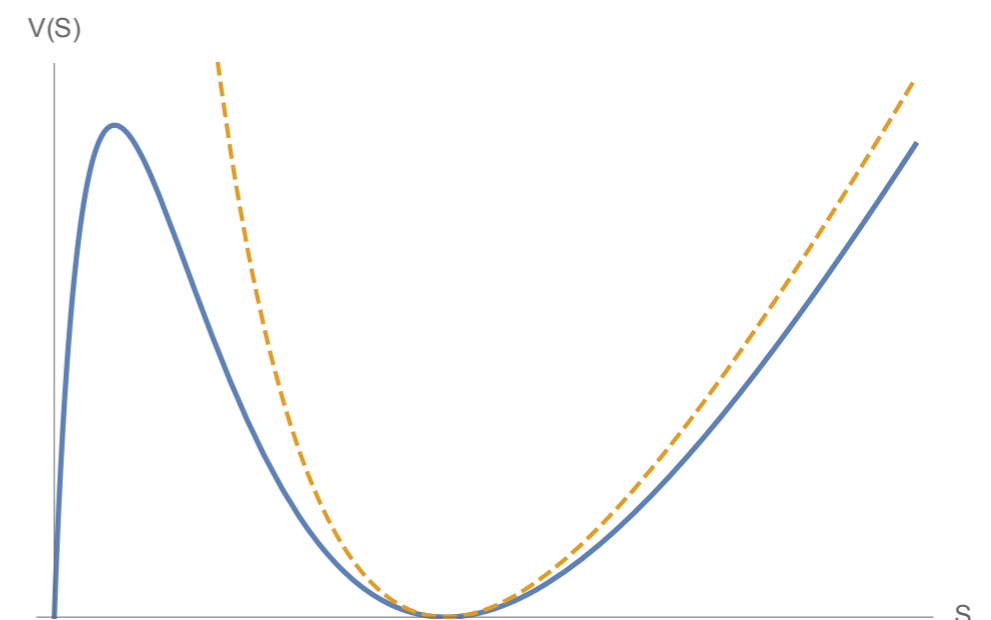
$$e^{-4A(\tau)} = \frac{g_s(\alpha' M)^2}{|S|^{4/3}} I(\tau)$$

→ S : complex structure modulus, controls hierarchy

- Flux potential for S :

$$V_{KS} \sim \frac{|S|^{4/3}}{g_s(\alpha' M)^2} \left| \frac{M}{2\pi i} \log \frac{\Lambda_0^3}{S} + i \frac{K}{g_s} \right|^2$$

[Douglas, Shelton, Torroba, '07, '08]



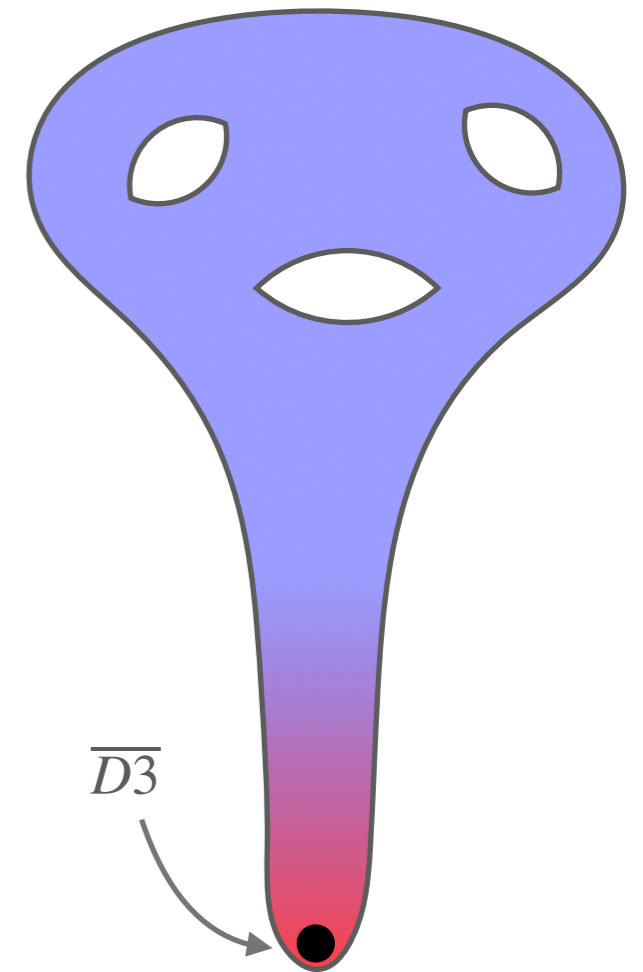
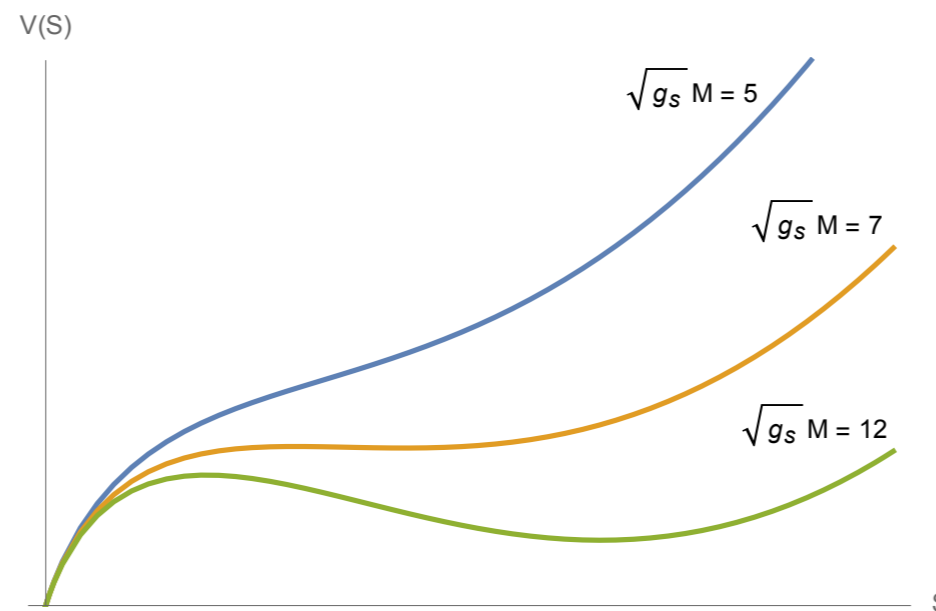
UPLIFTING RUNAWAYS

[Bena, Dudas, Graña, SL '18]

- Potential for S from $\overline{D3}$:

$$V_{\overline{D3}} \sim 2e^{4A} T_{D3} \sim \frac{|S|^{4/3}}{g_s (\alpha' M)^2}$$

- $V_{KS} + V_{\overline{D3}}$:



- Runaway to singular KT-throat ($S = 0 \rightarrow \infty$ -redshift) unless:

$$\sqrt{g_s} M > \gamma_{\overline{D3}} \sqrt{N_{\overline{D3}}}, \quad \gamma_{\overline{D3}} \approx 6.8$$

KS-BLACK HOLES AND χ -SYMMETRY BREAKING

[Bena, Buchel, SL '19]

➤ [Buchel '18]: Numerical construction of KS-black hole

➤ Exists only if

$$\mathcal{E} < \mathcal{E}_{\chi SB}$$

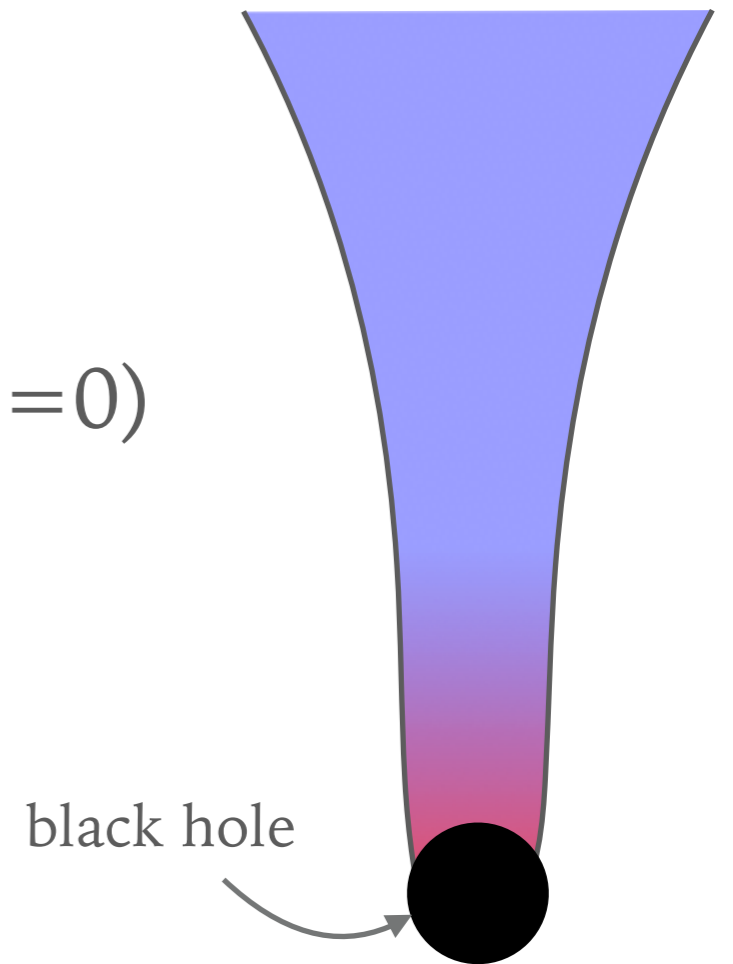
➤ Higher temp./energy: Only KT-black hole ($S=0$)

➤ Express energy-density \mathcal{E} in $\overline{D3}$ -units:

$$\mathcal{E} = N_{\overline{D3}} V_{\overline{D3}}$$

➤ Bound on \mathcal{E} becomes:

$$\sqrt{g_s} M > \gamma_{BH} \sqrt{N_{\overline{D3}}} , \quad \gamma_{BH} \approx 4.2$$



THE RADION OF KKKLT

[Randall '19]

- Effective 5D description:

KS-modulus $S^{1/3}$

\leftrightarrow

radion ϕ (length of throat)

- Goldberger-Wise mechanism:

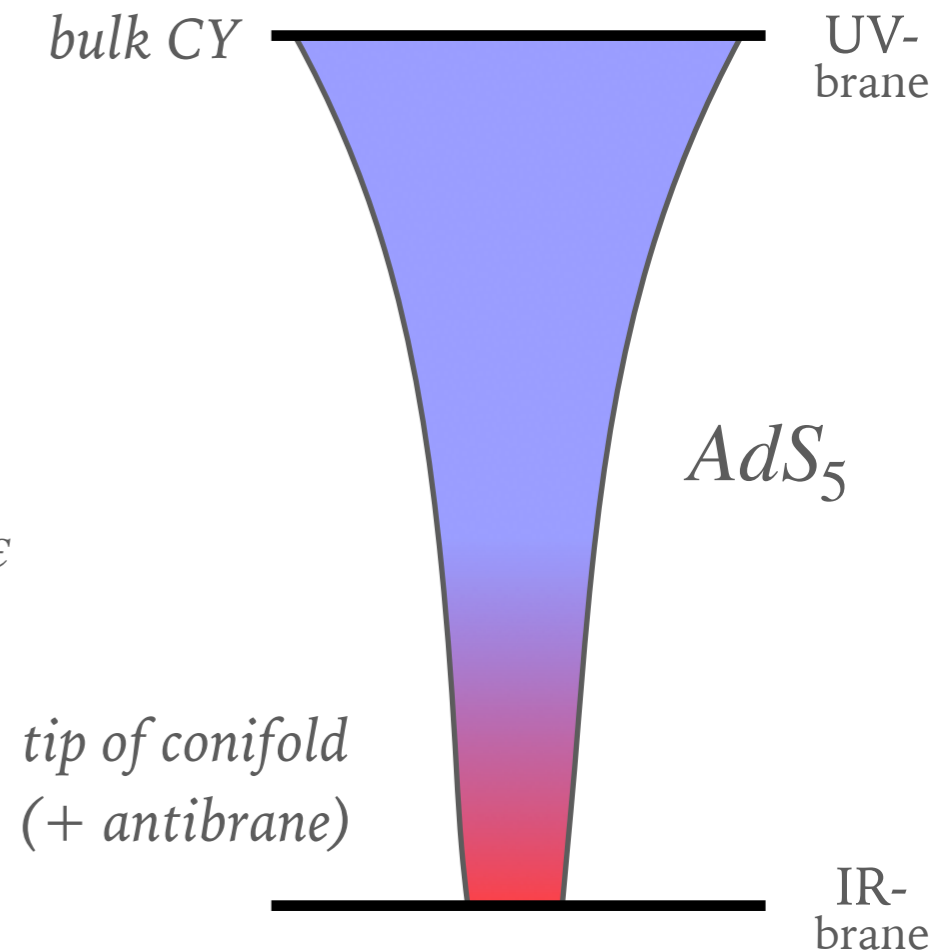
→ potential for ϕ : $V(\phi) = \lambda_1 \phi^4 + \lambda_2 \phi^{4-\epsilon}$

- Potential for S :

$$V(S) = S^{4/3} \left[\kappa_1 - \kappa_2 \log \frac{\Lambda_0^3}{S} \right]^2 \approx \kappa_1^2 S^{4/3} \left[5 - 6 \left(\frac{S}{\Lambda_0^3} \right)^\epsilon \right]$$

- Antibrane: $\delta V = \delta S^{4/3} \rightarrow$ perturbation of IR brane tension

RS-scenario:



CONSTRAINTS ON HIERARCHY

- Hierarchy in KS: $\frac{\Lambda_{IR}}{\Lambda_{UV}} \sim |S|^{1/3} \sim \exp\left(\frac{2\pi K}{3g_s M}\right)$

uplifting runaway:

$$g_s M^2 > M_{min}^2$$

tadpole cancelation:

$$KM \leq Q_{eff}$$

$$Q_{eff} = Q_{loc} - Q(\text{"other fluxes"})$$

- Constraint on hierarchy (one $\overline{D3}$):

$$h = \frac{2\pi}{3} \frac{MK}{g_s M^2} < \frac{2\pi}{3} \frac{Q_{eff}}{M_{min}^2} \approx 0.045 \times Q_{eff}$$

- F-theory + **tadpole conjecture**:

$$Q_{eff} = \frac{\chi(CY_4)}{24} - \frac{1}{2} \int G_4 \wedge G_4 \Big|_{\text{bulk}} \lesssim \left(\frac{1}{4} - \alpha\right) h_{\text{bulk}}^{3,1}$$

strong tadpole conjecture ($\alpha \geq 1/4$) = no large hierarchy

FIRST EXAMPLE:

IIB WITH D7-BRANES

D7-BRANES IN THE SEN-LIMIT

[Collinucci, Denef, Esole '08]

-
- CY_4 : Z elliptically fibered over \mathbb{CP}^3

$$Z: y^2 = x^3 + f(u)xz^4 + g(u)z^6$$

- topological data:

$$\chi(Z) = 24 \times 972, \quad h^{3,1}(Z) = 3878$$

- Sen-limit:

$$f = -3h^2 + \epsilon\eta, \quad g = -2h^3 + \epsilon h\eta - \epsilon^2\chi/12$$

- D7-branes: $\eta(u)^2 = h(u)\chi(u)$

- #D7-moduli: $N_{D7} = \binom{16+3}{3} + \binom{24+3}{3} - \binom{8+3}{3} - 1 = 3728$

→ Most moduli: D7-brane moduli!

D7-BRANES IN IIB

[Collinucci, Denef, Esole '08]

-
- D7-branes on degree $2m$ surface S : $P_{2m} = \eta^2 - h\chi = 0$

$$N_{D7} = \frac{4}{3}m^3 - 8m^2 + \frac{59}{3}m - 1$$

$$(\text{D7-tadpole} \rightarrow m = 16 \rightarrow N_{D7} = 3728)$$

- D7-world volume fluxes, dual to degree d curve γ :

$$N_{constr.} = 2md + 1 \quad \Rightarrow \quad d \geq \frac{2}{3}m^2 - 4m + \frac{59}{6}$$

- D3 charge from fluxes:

$$Q_{D3}(\gamma) = \chi(\gamma) + (2m - 4)d \geq \frac{4}{3}m^3 - \frac{32}{3}m^2 + \frac{107}{3}m - \frac{118}{3}$$

$$(m = 16 \rightarrow Q_{D3} > 3276 > 1944 = Q_{loc})$$

→ weakly coupled IIB: **no D7-brane moduli stabilization?!**

SECOND EXAMPLE:

M-THEORY ON $K3 \times K3$

M-THEORY ON $K3 \times K3$

- [Aspinwall, Kallosh '05]:

Stabilize all complex structure moduli within the tadpole bound:

$$\frac{\chi(K3 \times K3)}{24} = 24$$

- [Braun et al. '08]:

- Stabilize all moduli (Kähler + complex str.) by fluxes
- No knowledge of period maps necessary!

- Here:

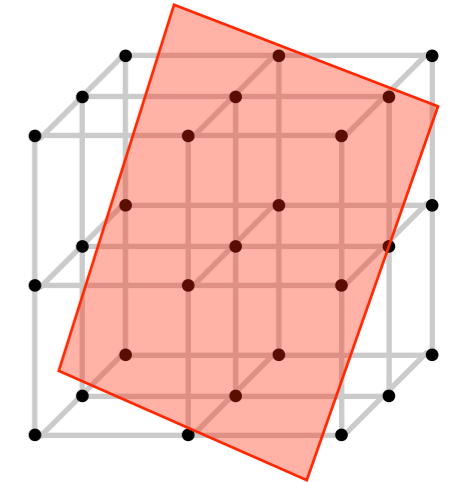
- Stabilize all moduli
- at generic point in moduli space (no singularity)
- bound on tadpole from fluxes?

THE MODULI SPACE OF K3

- Middle cohomology of K3:

$$H^2(K3, \mathbb{Z}) \cong (-E_8) \oplus (-E_8) \oplus U \oplus U \oplus U$$

even, self-dual lattice of sign. (3, 19)



$\text{span}\{\omega_i\}$

- Point in moduli space:

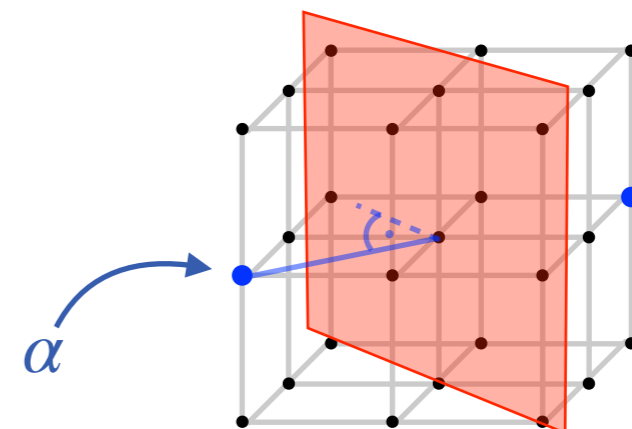
choice of *three* self-dual 3-forms $\omega_i \in H^2(K3, \mathbb{R})$, $i = 1, 2, 3$

$$\rightarrow \Omega = \omega_1 + i\omega_2, J \sim \omega_3$$

- Orbifold singularity:

$$\text{root } \alpha \in H^2(K3, \mathbb{Z}) \quad (\|\alpha\|^2 = -2)$$

$$\text{s.t. } (\alpha, \omega_i) = 0 \quad \forall i$$



MODULI STABILIZATION ON K3 X K3

[Braun, Hebecker, Ludeling, Valandro '08]

► 4-form flux: $G_4 \in H^2(K3, \mathbb{Z}) \times H^2(K3', \mathbb{Z})$

→ 22×22 dim. integer matrix G^{IJ}

► G_4 defines a map $N: H^2(K3) \rightarrow H^2(K3)$

$$N^I{}_J = G^{IK} d_{KL} G^{LM} d_{MJ} \quad (d_{IJ}: \text{intersection matrix})$$

► The eigenvectors v_i of N uniquely split into

$$(3 \times v_i^+, 19 \times v_j^-) \text{ with } \|v_i^+\|^2 > 0, \|v_j^-\|^2 < 0$$

- if:
- $N^I{}_J$ is diagonalizable with non-neg. eigenvalues
 - degenerate eigenvalues have eigenspaces of definite signature

→ *Minkowski vacuum with all moduli stabilized* ($\omega_i = v_i^+$)

MODULI STABILIZATION ON K3 X K3

➤ Tadpole:

$$\frac{1}{2} \int G_4 \wedge G_4 = \frac{1}{2} \text{tr}(N)$$

➤ Goal: Find $G^{IJ} \in \mathbb{Z}^{22 \times 22}$:

- N^I_J has desired diagonalization properties \rightarrow *vacuum + mod. stab.*
- \nexists root $\alpha \perp (\omega_i = v_i^+)$ \rightarrow *smooth K3s („generic point in mod. space“)*
- $\text{tr}(N)$ is minimal \rightarrow *minimal tadpole*

\rightarrow perfect for computer search with

evolutionary algorithm

ML & the Landscape: [He '17], [Ruehle '17], [Carifio, Halverson, Krioukov, Nelson '17], ...
genetic algorithms for flux vacua: [Cole, Schachner, Shiu '19]

EVOLUTIONARY ALGORITHM / DIFFERENTIAL EVOLUTION

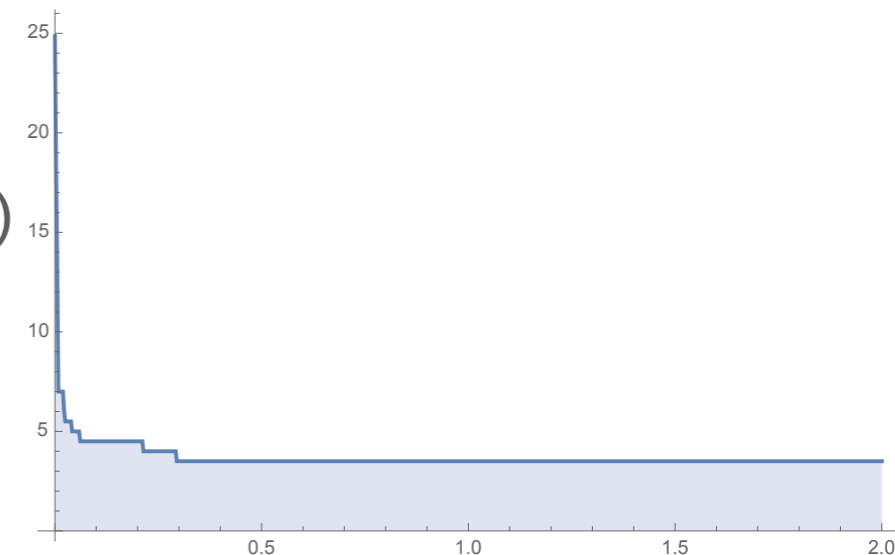
- Optimization inspired by biological evolution („reproduction“, „mutation“, „selection“, ...)
- Goal: Optimize a fitness function $f: \mathbb{R}^n \rightarrow \mathbb{R}$
- Differential evolution:
 - Random initial population: $P \in \mathbb{R}^{n \times N}$ (N = size of pop.)
 - For each $x \in P$:
 - Mutation: Randomly select $y, z \in P$: $x' = x + \gamma(y - z)$
 - Crossover: $x'' =$ random combination of x and x'
 - Selection: If $f(x'') < f(x)$: Replace x with x''
 - Repeat ...

DIFFERENTIAL EVOLUTION FOR K3 X K3 [Bena, Blåbäck, Graña, SL, to appear]

- Implemented fitness function for our problem in **Julia** using **BlackBoxOptim.jl** [Feldt et al.] and **bbsearch.jl** [Blåbäck].
- Challenges:
 - **HUGE search space!**
(e.g. #(matrices with entries in $\{0, \pm 1\}$) $\approx 10^{231}$)
 - **Finding roots $\perp \text{span}(\omega_i)$** (lattice vectors of minimal length) is **NP!**
- Slow convergence (multiple weeks...)

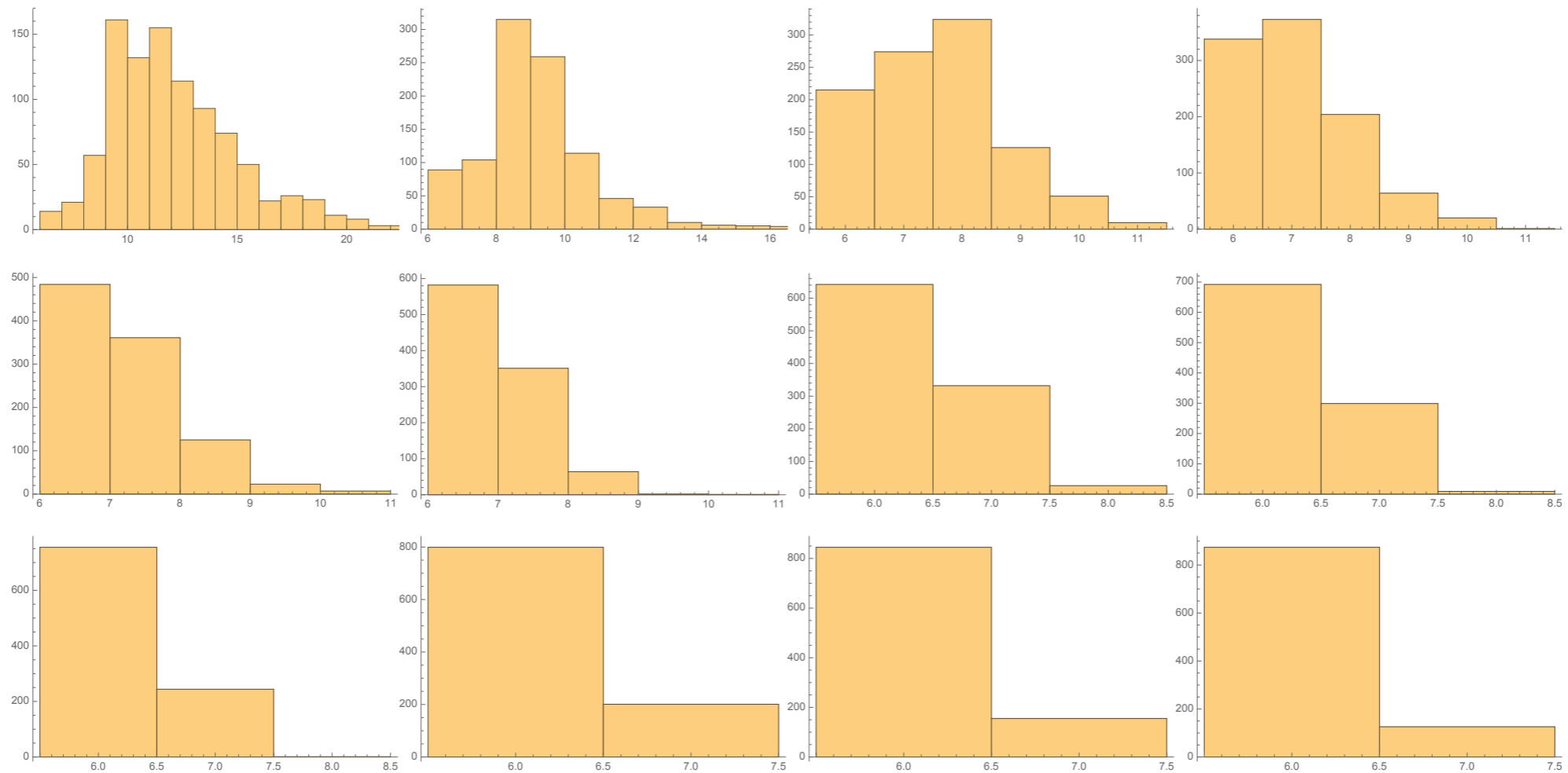
EXTRAPOLATING FROM SMALLER LATTICES

- After 1-2 weeks runtime: tadpole $t = 24$ found
(still some possible issues with roots $\perp \text{span}(\omega_i) \dots$)
- Problems:
 - Even longer runtime \rightarrow smaller t possible?
 - Decide when/if minimal t is found?
- Different strategy: Lattices of smaller dimension d
(e.g. $A_n \oplus U \oplus U \oplus U$, $E_n \oplus U \oplus U \oplus U$, ...)
- Result:
 - Fast convergence to $t = d$ (sometimes $t = d - 1$)
 - No lower tadpoles even after long searches
 - Conclusion: $t_{min} \approx d!$



EXAMPLE: 6-DIM. LATTICE

- 6-dim. lattice $U \oplus U \oplus U$
- Time evolution of the tadpoles in a population with $N=1000$ (snapshot every 120s):



- After 24 min: Almost all matrices arrived at tadpole $t=6$.

CONCLUSION

Extrapolate to K3-lattice:

► M-theory on K3 x K3:

- stabilization of all moduli
- generic point in moduli space (no orbifold singularity)
- fluxes with arbitrary small M2-charge ($Q \lesssim 22$)

→ cannot have all three!

*fluxes with
small charge*



*additional
light d.o.f*

THANK YOU!